

Application form for UAE Good Delivery (UAE GD) New Memberships or Renewals

Section A - Applicant company details

Application Type (Please tick)	NEW <input type="checkbox"/>	RENEWAL <input type="checkbox"/>
Membership Type (Please tick)	GOLD <input type="checkbox"/>	SILVER <input type="checkbox"/>
1. Registered Name of Company		
2. Operating Name of Company (if different from Registered Name)		
3. Date of Incorporation (DD/MM/YYYY)		
4. Registration / License Number & Expiry Date (DD/MM/YYYY)		
5. Is your company Regulated by any Government /Authority	<input type="checkbox"/> YES	<input type="checkbox"/> NO
a) If Yes, please specify name of Authority		
b) Regulatory / Authority website		
6. Registered Company (Physical) Address		
Door/ Floor No /Building Name: Street / Road Name: Region/ Area: Province/ State: PO Box/ ZIP:		
Country	Telephone	Fax



Email	Website
	www.
7. Type of License	<input type="checkbox"/> Service <input type="checkbox"/> Trading <input type="checkbox"/> Manufacturing <input type="checkbox"/> Other
a. If "Other", please specify license activities	
b. Please specify product & service	
c. Please specify countries where you operate	
d. Registered company address (as per license)	
8. Type of Company	<input type="checkbox"/> Public Company* <input type="checkbox"/> Government Company* <input type="checkbox"/> Private Company <input type="checkbox"/> Other
a) If "Other", please specify Type of Company	
b) Are your company shares listed on any regulated stock exchange? If, yes, please specify the stock exchange details: Please specify current stock exchange company code:	<input type="checkbox"/> YES <input type="checkbox"/> NO
c) Is your company fully owned by any government/ semi government bodies? If yes, please specify the stock exchange details:	<input type="checkbox"/> YES <input type="checkbox"/> NO

*** 8 (a) / 8(b) - If yes, the company is state owned entity or publicly listed then not necessary to declare section B.**



Section B - DECLARATION OF ULTIMATE BENEFICIAL OWNER (s) (UBOs):

POLICY

The UAE federal regulations require institutions to capture and identify the details of the ultimate individual beneficial Owners of an applying corporate. An Ultimate Beneficial Owner is normally an individual who ultimately owns or controls 25% or more of the company or group of companies, or on whose behalf a transaction or activity is being conducted.

Details of Beneficial Owners (BO's) - Corporate Entities (Please copy & replicate the below table for multiple BO's) I declare the above company is ultimately owned by the following listed entity/s:

Holding Company Name	Are you Public listed company or State-owned Entity? If yes, please mention the name of government authority or the exchange on which the shares are traded along stock code.	Address of Registered Office	% of Shares in Applicant Company

Details of Ultimate Beneficial Owners (**UBOs**) - Individuals (Please copy & replicate the below table for multiple UBOs)

I declare the above company is ultimately owned by the following listed individual/s:

Full Name (as per passport / Legal Document)	UBO 1	UBO 2	UBO 3
Date of Birth (DD/MM/YYYY)			
Passport Number			
Nationality			
Detailed Residential Address			
Percentage (%) of shares in the holding Company			
Name of holding company			

<p>Are you a PEP? (**) (Y/N)</p> <p>a) If yes, please specify Name:</p> <p>b) Position held:</p> <p>c) Period:</p> <p>d) Relationship / Association:</p>			
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AND/OR

☐ I hereby declare that today, no single individual shareholder/entity holds 25% and more of the company's or group of companies' equities.

☐ I hereby declare that the information provided is true and accurate and if such information changes, I will promptly notify the Executive Office of the Emirates Bullion Market Committee (the **Executive Office**) and the Service Provider (as defined in the EBC Accreditation Rules) in writing. I acknowledge that if any information provided by me/us is subsequently found to be untrue, inaccurate or misleading, the Executive Office shall revoke my company membership. I hereby authorize the Executive Officer and the Service Provider to make any enquiries from any person or entity, it may deem necessary in connection with this declaration. I understand that the Executive Office may decline my application without being required to provide any reason.

Has your entity ever been subject to any disciplinary action (including those against shareholder, director, employees) for regulatory non-compliance / International sanction breach/ law violation / criminal proceedings by a government body or agency or any self-regulatory organization or other professional body?

☐ **YES**

☐ **NO**

If yes, please specify the details:

Sanctions disclaimer: I / we declare to be aware of all economic sanctions laws, anti-boycott laws and trade restrictions imposed by the US, UN and EU, as may be amended from time to time, and warrant to comply with them in all respects related to the performance of this membership.

****Politically Exposed Person (PEP)** are individuals who are or have been entrusted with prominent public functions for example Heads of state or Heads of government, senior politicians, senior government, judicial or military officials, senior executives of state owned corporations, important political party officials, including their immediate family and their close associates.



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Section C- AUTHORISED REPRESENTATIVE AND PRODUCT DETAILS

1. Contact information (For New Applications)

Contact person (may be different than the authorized signatory):

Title.....

First name:

Last name:

Telephone number:

Email address:

2. Information about the gold/silver bars or grains produced (as applicable) (For New Applications)

a) 1kg bar of minimum 995 purity per thousand parts of fine gold

Description of hallmark/ brand stamp(s)

b) 1kg bar of minimum 999.9 purity per thousand parts of fine gold

Description of hallmark/brand stamp(s)

c) 12.5 kg bar of minimum 995 purity per thousand parts of fine gold

Description of hallmark/ brand stamp(s)

d) Gold grains of minim 995.0 purity as prescribed in EBC Accreditation Rules (the Accreditation Rules)

Description of hallmark/brand stamp(s)

e) 1kg silver bar of minimum 999 purity

Description of hallmark/ brand stamp(s)

f) 750-1100 ounces silver bar of minimum 999.0 purity

Description of hallmark/brand stamp(s)

g) Silver grains of minim 999.0 purity as prescribed in EBC Accreditation Rules (the Accreditation Rules)

Description of hallmark/brand stamp(s)

3. Please also provide the following information/documents

- (a) Coloured photographs of the gold bar(s) / silver bar(s) / silver grains described in Accreditation Rule 3 - dimensional view of the bars, including the marks as stated in paragraph 4;
- (b) A completed letter in the form of the EBC template for "Declaration of UAEGD" in respect of the following (a separate template is to be provided in respect of each of the previous 3 years)***:
 - (1) A statement that each gold bar produced in the previous 3 years of operations bears the following marks:
 - Serial number;
 - Assay stamp of refiner;
 - Fineness (to four significant figures); and
 - Weight (expressed in grams or kilograms)
 - (2) UAEGD Membership - Statistics regarding annual uninterrupted production of refined gold for the previous 3 years of operations which confirms a total production volume of at least 10 tons of gold /10 tons of silver during each of those years, supported by provision of the serial number of the gold bars/ silver bars /silver grains produced in each of the previous 3 years of production;

***Note: the EBC template for 'Declaration of UAEGD' will be provided upon request.

- (c) A copy of the applicant's trade license, company registration documentation, or certificate of incorporation (as applicable), Memorandum of Association, along with copies of any renewals of the same, together which evidence that the applicant has been operational for a minimum period of 3 consecutive years as at the date of application;
- (d) A full description of the structure of the applicant's ownership
- (e) UAEGD Applicants - Audited Financial Statements (**AFS**) for the previous 3 years of operations, with the most recent AFS demonstrating that the applicant has total net worth of not less than the equivalent of AED 55.1 million;
- (f) UAEGD Applicants - The applicant must provide two reference letters and if required, in a format and subject to criteria as stipulated by EBC from time to time;
- (g) Documentary evidence to demonstrate that it implements appropriate compliance procedures in accordance with Part C of the EBC Rules for Risk Based Due Diligence in the Gold Supply Chain (the **Rules for RBDG**);

Review Reports from an EBC Approved Reviewer demonstrating the level of compliance and/or non-compliance with the Rules for RBDG. The Review Reports must be prepared pursuant to a review carried out in accordance with the Annex 2 of the Rules for RBDG.



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Note: the latest version of the Rules for RBDG is available on the EBC website.

By submitting this form and accompanying documentation, we hereby apply for the UAEGD Accreditation Standard in order to become a UAEGD Member (as defined in the Accreditation Rules). We understand and acknowledge that the Accreditation Rules may be amended by the Executive Office from time to time. We have read, and agree to be bound by, the Accreditation Rules, including in relation to any types of testing prescribed within the Accreditation Rules and the payment of any fees in accordance with the Accreditation Rules and as notified to us in writing by the Service Provider or the Executive Office from time to time.

We understand that the acceptance of the membership will be the sole discretion of the Executive Office.

We hereby confirm that the information supplied is true & accurate. We acknowledge that any misrepresentation may result in appropriate legal action, including prosecution.

The person signing the document has all necessary authority to enter into the agreement for and on behalf of the applicant company.

Signature:

Name:

Designation:

Date:

Company Stamp: